

08/24/01

10/24/01 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

| | |
|------------------------|--|
| Attorney Docket No. | 8270 |
| First Inventor | Raul Victorino Nunes |
| Assignee | The Procter & Gamble Co. |
| Title | Beverage Compositions Comprising Arabinogalactan and Defined Vitamins |
| Express Mail Label No. | EK95253256US |

11046 U.S. PTO
10/24/01

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
(see 37 CFR §1.27)
3. ☒ Specification Total Pages [32]
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC §113) Total Sheets ☐
5. Oath or Declaration Total pages ☐
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 18 complete)
 - i. ☐ DELETION OF INVENTORS
Signed statement attached deleting inventor(s)
named in the prior application,
see 37 CFR §§1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR §1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C.
122(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No. 1

Prior application information:

Examiner:

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label(Insert Customer No. or Attach bar code label here
27752)

| | | | |
|-------------------|-----------------------|-----------------------------------|-----------------|
| Name (Print/Type) | Kelly L. McDow-Dunham | Registration No. (Attorney/Agent) | 43,787 |
| Signature | Kelly L. McDow-Dunham | Date | August 24, 2001 |

† Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

| | |
|----------------------|----------------------|
| Application Number | |
| Confirmation Number | |
| Filing Date | August 24, 2001 |
| First Named Inventor | Raul Victorino Nunes |
| Examiner Name | |
| Group/Art Unit | |
| Attorney Docket No. | 8270 |

TOTAL AMOUNT OF PAYMENT (\$ 836.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number **16-2480**
 Deposit Account Name **The Procter & Gamble Company**
- ☐ Charge Any Additional Fee Required Under status See 37 CFR §127
 37 C.F.R. §§1.16 and 1.17

FEE CALCULATION

1. BASIC FILING FEE - Large Entity

| Code (\$) | Fee Description | Fee Paid |
|-----------|------------------------|-------------------------------------|
| 101 710 | Utility filing fee | <input checked="" type="checkbox"/> |
| 106 320 | Design filing fee | <input type="checkbox"/> |
| 107 490 | Plant filing fee | <input type="checkbox"/> |
| 108 710 | Reissue filing fee | <input type="checkbox"/> |
| 114 150 | Provisional filing fee | <input type="checkbox"/> |

SUBTOTAL (1) (\$)[710]

2. EXTRA CLAIM FEES - Large Entity

| | Extra Claims | Below Fee | Fee Paid |
|--------------------|--------------------------|-----------|----------|
| Total Claims | [27] - 20** = [7] x [18] | = [126] | |
| Independent Claims | [2] - 3** = [0] x [0] | = [0] | |
| Multiple Dependent | | [0] = [0] | |

** or number previously paid, if greater; For Reissues, see below

| Code (\$) | Fee Description |
|-----------|---|
| 103 18 | Claims in excess of 20 |
| 102 80 | Independent claims in excess of 3 |
| 104 270 | Multiple dependent claim, if not paid |
| 109 80 | **Reissue independent claims over original patent |
| 110 18 | **Reissue claims in excess of 20 & over original patent |

SUBTOTAL (2) (\$)[126]

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Code (\$) | Fee Description | Fee Paid |
|------------|--|--------------------------|
| 105 130 | Surcharge-late filing fee or oath | <input type="checkbox"/> |
| 127 50 | Surcharge-late provisional filing fee or cover sheet | <input type="checkbox"/> |
| 139 130 | Non-English specification | <input type="checkbox"/> |
| 147 2,520 | For filing a request for <i>ex parte</i> reexamination | <input type="checkbox"/> |
| 112 920* | Requesting publication of SIR prior to Examiner's action | <input type="checkbox"/> |
| 113 1,840* | Requesting publication of SIR after Examiner's action | <input type="checkbox"/> |
| 115 110 | Extension for reply within 1 st month | <input type="checkbox"/> |
| 116 390 | Extension for reply within 2 nd month | <input type="checkbox"/> |
| 117 890 | Extension for reply within 3 rd month | <input type="checkbox"/> |
| 118 1,390 | Extension for reply within 4 th month | <input type="checkbox"/> |
| 128 1,890 | Extension for reply within 5 th month | <input type="checkbox"/> |
| 119 310 | Notice of Appeal | <input type="checkbox"/> |
| 120 310 | Filing a brief in support of an appeal | <input type="checkbox"/> |
| 121 270 | Request for oral hearing | <input type="checkbox"/> |
| 138 1,510 | Petition to institute a public use proceeding | <input type="checkbox"/> |
| 140 110 | Petition to revive - unavoidable | <input type="checkbox"/> |
| 141 1,240 | Petition to revive - unintentional | <input type="checkbox"/> |
| 142 1,240 | Utility issue fee (or reissue) | <input type="checkbox"/> |
| 143 440 | Design issue fee | <input type="checkbox"/> |
| 144 600 | Plant issue fee | <input type="checkbox"/> |
| 122 130 | Petitions to the Commissioner | <input type="checkbox"/> |
| 123 50 | Petitions related to provisional applications | <input type="checkbox"/> |
| 126 180 | Submission of Information Disclosure Statement | <input type="checkbox"/> |
| 146 710 | Filing a submission after final rejection (37 CFR § 1.129(a)) | <input type="checkbox"/> |
| 149 710 | For each additional invention to be examined (37 CFR § 1.129(b)) | <input type="checkbox"/> |
| 179 710 | Request for Continued Examination (RCE) | <input type="checkbox"/> |
| 179 710 | Request for expedited examination of a design application | <input type="checkbox"/> |
| | Other fee (specify) _____ | <input type="checkbox"/> |
| | Other fee (specify) _____ | <input type="checkbox"/> |

* Reduced by Basic Filing Fee Paid SUBTOTAL(3) (\$)[0]

SUBMITTED BY

| | | | | | |
|-------------------|------------------------------|-----------------------------------|-----------------|-----------|----------------|
| Name (Print/Type) | Kelly L. McDow-Dunham | Registration No. (Attorney/Agent) | 43,787 | Telephone | (513) 634-0102 |
| Signature | <i>Kelly L. McDow-Dunham</i> | Date | August 24, 2001 | | |

Substantial information on this form may require basic. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-203A. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20531